

Snapshot

of IAASA's Financial Reporting Supervision activities in 2021

Issuers under remit: 99
(2020: 91)



Equity: 27
(2020: 28)

Fund: 10
(2020: 14)

Debt: 62
(2020: 49)

Reports examined: 43
(2020: 47)



Equity: 31
(2020: 41)

Fund: 3
(2020: 0)

Debt: 9
(2020: 6)

Types of examinations: 43
(2020: 47)



Unlimited: 15
(2020: 11)

Focused: 17
(2020: 16)

Follow-up: 11
(2020: 20)

Outcomes of examinations: 280
(2020: 195)



Matters raised: 189
(2020: 103)

Undertakings received: 86
(2020: 82)

Publication of decisions: 5
(2020: 10)

Publications: 2
(2020: 4)



Information Notes: 1
(2020: 1)

Thematic reviews: 0
(2020: 2)

Observations paper: 1
(2020: 1)

Details of other activities undertaken in 2021 (e.g. engagement at local, European and international level, and advice to the Minister) will be included in IAASA's 2021 Annual Report to be published later in 2022

Publications are available on IAASA's website at www.iaasa.ie



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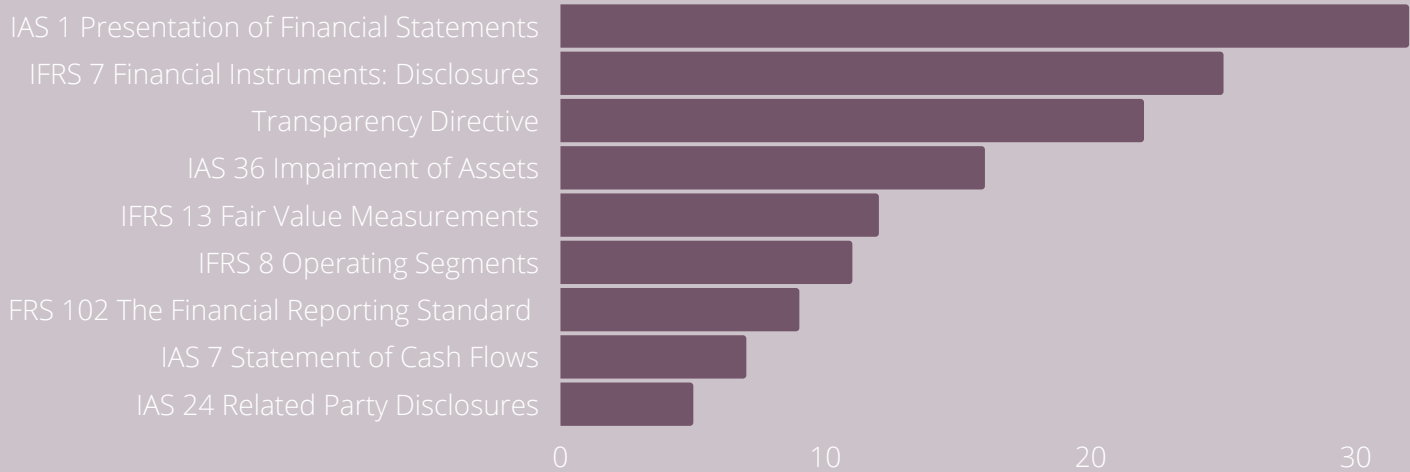


Brexit resulted in 6 equity and 1 debt issuers falling out of remit, and 5 equity and a number of debt issuers coming within remit

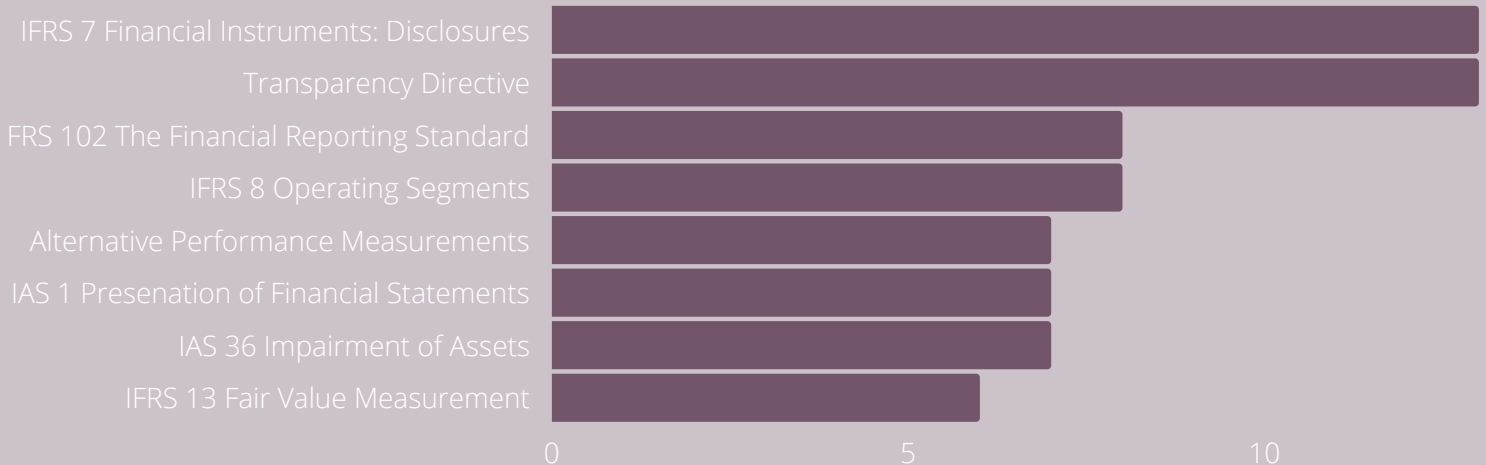
6 emerging issues and 7 decisions were submitted to the European Enforcers Co-ordination Sessions ('EECS') of the European Securities and Markets Authority ('ESMA')

6 presentations were made to audit firms, industry bodies and other stakeholders

MATTERS RAISED WITH ISSUERS IN 2021 INCLUDED



UNDERTAKINGS RECEIVED FROM ISSUERS IN 2021 INCLUDED



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