

Irish Auditing and Accounting Supervisory Authority

AR B-3(IE) - Good Repute Form - Individual

Set out below are the questions that an Entity should ask of each member of the administrative or management board, and each individual designated by the firm as being primarily responsible for carrying out or signing on behalf of the firm an audit on a relevant audit client. The answers will be 'yes' or 'no' but a 'yes' will need further explanation.

Financial integrity and reliability

- | | | | |
|---|--|------------------------------|-----------------------------|
| 1 | In the last ten years have you made any compromise arrangement with your creditors, or otherwise failed to satisfy creditors in full? | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| 2 | Have you ever been declared bankrupt or been the subject of a bankruptcy court order or has a bankruptcy petition ever been served on you? | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| 3 | Have you ever signed a trust deed for a creditor, made an assignment for the benefit of creditors, or made any arrangements for the payment of a composition to creditors? | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| 4 | In the last ten years has a court, given any judgement against you about a debt? | <input type="checkbox"/> Yes | <input type="checkbox"/> No |

Civil liabilities

- | | | | |
|---|---|------------------------------|-----------------------------|
| | There is no need to have regard to road traffic offences that did not lead to disqualification or a prison sentence. | | |
| 5 | In the last five years have you been the subject of any civil action relating to your professional or business activities which has resulted in finding against you by a court, or a settlement being agreed? | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| 6 | Have you at any time pleaded guilty to or been found guilty of any offence? | <input type="checkbox"/> Yes | <input type="checkbox"/> No |
| 7 | Have you ever been disqualified by a court from being a director, or from acting in the management or conduct of the affairs of any company? | <input type="checkbox"/> Yes | <input type="checkbox"/> No |

Good reputation and character

- 8 In the last ten years have you been:
- refused the right or been restricted in the right to carry on any trade, business or profession for which a specific licence, registration or other authority is required? ☐ Yes ☐ No
 - investigated about allegations of misconduct or malpractice in connection with your professional activities which resulted in a formal complaint being proved but no disciplinary order being made? ☐ Yes ☐ No
 - the subject of disciplinary procedures by a professional body or employer resulting in a finding against you? ☐ Yes ☐ No
 - reprimanded, excluded, disciplined or publicly criticised by any professional body to which you belong or have belonged? ☐ Yes ☐ No
 - refused entry to or excluded from membership of any profession or vocation? ☐ Yes ☐ No
 - dismissed from any office (other than as auditor) or employment or requested to resign from any office, employment or partnership? ☐ Yes ☐ No
 - reprimanded, warned about future conduct, disciplined, or publicly criticised by any regulatory body, or any officially appointed enquiry concerned with the regulation of a financial, professional or other business activity? ☐ Yes ☐ No
 - the subject of a court order at the instigation of any regulatory body, or any officially appointed enquiry concerned with the regulation of a financial, professional or other business activity? ☐ Yes ☐ No
- 9 Are you currently undergoing any investigation or disciplinary procedures as described in 8 above? ☐ Yes ☐ No

Signed
Name

Date: _____

Role

<-- Please print